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Receipt  
2/FC1  
#3

BY Helene Ghahel

DATE March 14, 2002

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Patent Application of  
Yukihiro Okada *et al.* : Office of Initial Patent Examination

Conf. No.: 2411 :  
Appln. No.: 10/045,839 :  
Filed: October 19, 2001 :  
For: ELECTRODE ALLOY POWDER AND : Attorney Docket  
METHOD OF PRODUCING THE SAME : No. 10059-389US  
(P25427-01)

COPY OF PAPER  
ORIGINALLY FILED

**REQUEST FOR CORRECTED FILING RECEIPT**

Attached is the original Filing Receipt for the above-identified patent application. Only one (1) drawing is indicated on the Filing Receipt as having been received. Please correct the number of drawings to three (3) as evidenced by the enclosed copy of our Transmittal sheet for the non-provisional utility patent application identified above, along with a copy of both sides of the postcard receipt acknowledging receipt by the U.S. Patent and Trademark Office of three (3) sheets of formal drawings together with the other patent application papers. A COPY of the Filing Receipt showing the requested change is also enclosed.

Please make this correction and provide us with a Corrected Filing Receipt.

Respectfully submitted,

**YUKIHIRO OKADA *ET AL.***

March 13, 2002  
(Date)

By:

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WWS/hg  
Enclosures

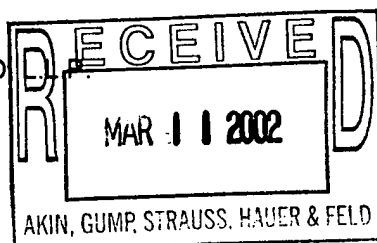

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## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/045,839	10/19/2001	1745	740	10059-389US (P25427-01)	1	15	1

000570

 AKIN, GUMP, STRAUSS, HAUER & FELD  
 ONE COMMERCE SQUARE  
 2005 MARKET STREET, SUITE 2200  
 PHILADELPHIA, PA 19103


CONFIRMATION NO. 2411

## FILING RECEIPT



\*OC000000007568009\*

Date Mailed: 03/05/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

 Yukihiro Okada, Osaka, JAPAN;  
 Miho Kayama, Osaka, JAPAN;  
 Yoichiro Tsuji, Osaka, JAPAN;

## Assignment For Published Patent Application

 Matsushita Electric Industrial Co., Ltd.;  
 Toyota Jidosha Kabushiki Kaisha;

## Domestic Priority data as claimed by applicant

## Foreign Applications

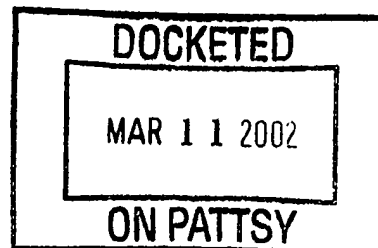
JAPAN 2000-398964 12/27/2000

If Required, Foreign Filing License Granted 03/01/2002

Projected Publication Date: 06/27/2002

Non-Publication Request: No

Early Publication Request: No



**Title**

Electrode alloy powder and method of producing the same

**Preliminary Class**

429

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**COPY**



ATTY WWS SECY SEN PATENT RETURN POSTCARD  
 ATTY DKT # 10059-38905 TODAY'S MAILING DATE 10/19/01  
 EXPRESS MAIL # EL91693546005 CERT OF MAIL CERT OF SERVICE  
 PAT APP/PATENT/REEXAM/INTF# \_\_\_\_\_  
 OF Yukihiko Okada et al.  
 FOR: Electrode Alloy Powder And Method Of Producing The Same  
 RECEIPT IS ACKNOWLEDGED BY THE USPTO FOR THE FOLLOWING:  
☒ PAT AP (PROV/NON-PROVIDES/REISSUE) ☐ CPA REQUEST  
☒ DECL & POW (EXECUTED/UNEXECUTED) ☐ AMEND/REQ RECONS/ RESTR.REQ. RESPONSE  
☒ 62 PAGES TOTAL TEXT ☐ RESPONSE TO MISSING PARTS  
☒ 15 TOTAL # CLAIMS ☒ INF.DISC.STMT. PTO-1449 & 5 REFS  
☒ ~~SEQUENCE LISTING (PAPER COPY/DISK)~~ ☒ PRT EXT TIME \_\_\_\_\_ MONTHS  
☐ COMPUTER CODE ☒ ASSIGN/CHG NAME/MERGER/SEC. INT  
☐ PRELIMINARY AMENDMENT ☐ PTO-1595 FORM  
☒ PRIORITY DOC. ☐ VERF.STATE. - SMALL ENTITY  
 COUNTRY Japan NO 2000-398964 ☐ NOTICE APPEAL/APPEAL BRIEF/(3 COPIES)  
☒ TRANSMITTAL LTR (US PCT/NAT'L PHASE) ☐ SUPPL. DECL.  
☐ REV/APPT OF ATTORNEY ☐ FEE AUTH (FINAL/MAINT/DISCLAIMER)  
☐ REQUEST (STATUS/CERTIF CORR/COR FILING RT/REFUND)  
 TOTAL FEES AUTH CHARGE DEP ACCT. # 50-1017 \$ 740.00 + 40.00 = \$ 780.00  
 OTHER (PAPER TITLE) \_\_\_\_\_

jc971 U.S. PTO  
10/045839



10/19/01

FEB - 7 2002



AKIN, GUMP, STRAUSS, HAUER & FELD, LLP.  
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 2005 MARKET STREET  
 PHILADELPHIA, PA 19103



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**NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)**

☐ Duplicate  
(check, if applicable)

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, DC 20231



Attorney Docket No. 10059-389US (P25427-01)  
First Named Inventor: Yukihiko OKADA *et al.*  
Express Mail Label No. EL916935460US  
Total Pages of Transmittal Form: 2

Transmitted herewith for filing is the non-provisional utility patent application entitled:

**ELECTRODE ALLOY POWDER AND METHOD OF PRODUCING THE SAME**

which is:

an ☒ Original; or

a ☐ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)  
of prior Application No. filed.

Anticipated Group/Art Unit: or Class, Subclass.

☐ This non-provisional patent application is based on Provisional Patent Application No.,  
filed.

Enclosed are:

☒ Specification (including Abstract) and claims: 62 pages.

☐ Application Data Sheet.

☒ Newly executed Declaration (original).

☐ Copy of Declaration from prior application.

☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).

☒ 3 sheets of drawings (formal).

☐ Transmittal Letter Accompanying Submission of Compact Disc in Accordance  
with 37 C.F.R. §1.52(e), plus two identical compact discs (for computer program  
Appendix)

☐ Nucleotide and/or Amino Acid Sequence Submission, including:

☐ Computer readable copy ☐ Paper Copy ☐ Verified Statement.

☒ Under PTO-1595 Cover Sheet, an assignment of the invention

☒ Name of Assignee: **Matsushita Electric Industrial Co., Ltd. and Toyota  
Jidosha Kabushiki Kaisha**

☒ Certified copy of Japanese Application No. 2000-398964 filed December 27,  
2000 is filed: ☒ herewith or ☐ in prior application.

☐ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under  
37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern,  
or ☐ a Non-Profit Organization.

☐ Preliminary Amendment.

☒ Information Disclosure Statement, PTO/SB/08A, and cited references.

☐ Request for Nonpublication of Application Under 35 U.S.C. §122(b)

☐ Other:



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The filing fee is calculated as follows:

			SMALL ENTITY		LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE:		BASIC FEE:	
			\$370		\$740	
Total	15 - 20 =	- 0 -	X9	\$	X18	\$
Independent	1 - 3 =	- 0 -	X42	\$	X84	\$
[ ] Multiple Dependent Claims Present			\$140	\$	\$280	\$
			TOTAL	\$	TOTAL	\$740.00

- [ ] The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.
- [X] A check in the amount of \$740.00 to cover the filing fee is enclosed.
- [X] The Commissioner is hereby authorized to charge and/or credit Deposit Account No. 50-1017 (Billing No. 210059.0389) as noted below. A duplicate copy of this sheet is enclosed.
- [X] Any overpayments or deficiencies in the above-calculated fee.
- [ ] Filing fee in the amount of \$\_\_\_\_\_ as calculated above.
- [X] Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- [X] In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

**CORRESPONDENCE ADDRESS:**

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(Date)  
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